# Artificial Intelligence Informed Optimal Search 

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## Outline

(1) Informed Optimal Search

- What is an Heuristic?
- Formal Definition of a Heuristic
- Desirable Properties of a Heuristic - Consistency and Monotonicity
- Dominance
(2) A* Algorithm
- The Heuristic A*
- Pseudo-Code
- Consistency of A*
- Admissibility in $\mathrm{A}^{*}$
- Lemma Toward Admissibility of A*
- Expansion of Different Strategies
- Optimality in A*
- Iterative-Deepening for $A^{*}$
- A*: Re-weighting Edges
- Dealing with the problem
- Best-First Searches - Algorithm
- Greedy Best First Search
- Greedy Best-First Search Vs. A* Algorithm
(3) Limits in Heuristics
- Where do heuristics come from?
- Abstraction Transformations and Valtortas's Theorem


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## What is an Heuristic? [1]

## Heuristic

- It is possible to use domain-dependent knowledge to capture information about the problem


## Updating Function

We have the following Cost function

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f: V \longrightarrow \mathbb{R} \text { with } f=g+h
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- $g(u)$ is the weight of the (current optimal) path from $s$ to $u$.


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## Where

- $V$ is the state space of the search

$$
f(u)=g(u)+h(u)
$$

- $g(u)$ is the weight of the (current optimal) path from $s$ to $u$.
- $h(u)$ is an estimate (lower bound) of the remaining costs from $u$ to a goal, the heuristic function.


## Graphically, we have

## We have then



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## Formal Definition

## Definition

- Given the weighted state space problem, $G=(V, E, s, T, w)$.
- A heuristic $h$ is a node evaluation function, mapping $h: V \rightarrow \mathbb{R}^{+}$.


## Example quite simplified!!!

## No Information



Figure: The states are uniform no information $h(u)=0$

## Example

## More Information



Figure: Some Information

## Formal Definition

## Total Information - Follow the heuristic



Figure: Total information

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## Desirable Properties of a Heuristic

## Definition 1.8

An estimate $h$ is an admissible heuristic if it is a lower bound for the optimal solution costs; that is, $h(u) \leq \delta(u, T)$ for all $u \in V$.

## Example

## Tile Game



Figure: A game where the player can move tiles Up, Down, Left and Right to an empty spot

## Example

## Movements in the Tile Game



Figure: A game where the player can move tiles Up, Down, Left and Right to an empty spot

## Example

## Goal State of the Tile Game

| 1 | 2 | 3 | 4 |
| :---: | :---: | :---: | :---: |
| 5 | 6 | 7 | 8 |
| 9 | 10 | 11 | 12 |
| 13 | 14 | 15 |  |

Figure: Goal State

## Examples of Admissible Heuristics for the Tile Game

## Hamming Distance

- The Hamming distance is the total number of misplaced tiles.


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- The Hamming distance is the total number of misplaced tiles.


## Using the Manhattan distance

$$
\begin{equation*}
d_{1}(\boldsymbol{x}, \boldsymbol{y})=\|\boldsymbol{x}-\boldsymbol{y}\|_{1}=\sum_{i=1}^{n}\left|x_{i}-y_{i}\right| \tag{1}
\end{equation*}
$$

With $\boldsymbol{x}, \boldsymbol{y} \in \mathbb{R}^{n}$.

## Examples of Admissible Heuristics for the Tile Game

Thus, we have the following heuristic

$$
\begin{equation*}
h(v)=\sum_{i \in v} d\left(t i l e_{i} \text { position, correct position of } t i l e_{i}\right) \tag{2}
\end{equation*}
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Thus, we have the following heuristic

$$
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h(v)=\sum_{i \in v} d\left(\text { tile }_{i} \text { position, correct position of tile } e_{i}\right) \tag{2}
\end{equation*}
$$

## Where

$$
\begin{equation*}
d\left(\text { tile }_{i}, \text { correct position of } \text { tile }_{i}\right)=\left|x_{1}^{(i)}-y_{1}^{(i)}\right|+\left|x_{2}^{(i)}-y_{2}^{(i)}\right| \tag{3}
\end{equation*}
$$

## Examples of Admissible Heuristics for the Tile Game

Thus, we have the following heuristic

$$
\begin{equation*}
h(v)=\sum_{i \in v} d\left(t^{2 l} e_{i} \text { position, correct position of tile } e_{i}\right) \tag{2}
\end{equation*}
$$

## Where

$$
\begin{equation*}
d\left(\text { tile }_{i}, \text { correct position of } \text { tile }_{i}\right)=\left|x_{1}^{(i)}-y_{1}^{(i)}\right|+\left|x_{2}^{(i)}-y_{2}^{(i)}\right| \tag{3}
\end{equation*}
$$

## With

- tile $_{i}$ position $=\left(x_{1}, x_{2}\right)^{t} \in \mathbb{N}^{2}$
- correct position of tile $=\left(y_{1}^{(i)}, y_{2}^{(i)}\right)^{t} \in \mathbb{N}^{2}$


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## Desirable Properties of a Heuristic

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## Definition 1.9 (Consistency and Monotonicity)

- Let $G=(V, E, s, T, w)$ be a weighted state space problem graph.
(1) A goal estimate $h$ is a consistent heuristic if $h(u) \leq h(v)+w(u, v)$ for all edges $e=(u, v) \in E$.


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(1) A goal estimate $h$ is a consistent heuristic if $h(u) \leq h(v)+w(u, v)$ for all edges $e=(u, v) \in E$.
(2) Let $\left(u_{0}, \ldots, u_{k}\right)$ be any path, $g\left(u_{i}\right)$ be the path cost of $\left(u_{0}, \ldots, u_{i}\right)$, and define $f\left(u_{i}\right)=g\left(u_{i}\right)+h\left(u_{i}\right)$.


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(2) Let $\left(u_{0}, \ldots, u_{k}\right)$ be any path, $g\left(u_{i}\right)$ be the path cost of $\left(u_{0}, \ldots, u_{i}\right)$, and define $f\left(u_{i}\right)=g\left(u_{i}\right)+h\left(u_{i}\right)$.
(1) A goal estimate $h$ is a monotone heuristic if $f\left(u_{i}\right) \leq f\left(u_{j}\right)$ for all $i<j, 0 \leq i, j \leq k$.


## Equivalence between Consistency and Monotonicity

Theorem 1.1 (Equivalence between Consistency and Monotonicity)

- A heuristic is consistent if and only if it is monotone.


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- A heuristic is consistent if and only if it is monotone.


## Proof

- For two subsequent states $u_{i-1}$ and $u_{i}$ on a path $\left(u_{0}, u_{1}, \ldots, u_{k}\right)$


## Proof

## We have

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f\left(u_{i}\right)=g\left(u_{i}\right)+h\left(u_{i}\right)
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## Proof

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\begin{aligned}
f\left(u_{i}\right) & =g\left(u_{i}\right)+h\left(u_{i}\right) \\
& =g\left(u_{i-1}\right)+w\left(u_{i-1}, u_{i}\right)+h\left(u_{i}\right)
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& \geq g\left(u_{i-1}\right)+h\left(u_{i-1}\right)
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& =f\left(u_{i-1}\right)
\end{aligned}
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## Consistent Estimates are Admissible

Theorem 1.2 (Consistency and Admissibility)
Consistent estimates are admissible.

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Proof

- if $h$ is consistent we have that $h(u)-h(v) \leq w(u, v)$ for all $(u, v) \in E$


## Consistent Estimates are Admissible

Theorem 1.2 (Consistency and Admissibility)
Consistent estimates are admissible.
Proof

- if $h$ is consistent we have that $h(u)-h(v) \leq w(u, v)$ for all $(u, v) \in E$
- Let $p=\left(v_{0}, \ldots, v_{k}\right)$ be any path from $u=v_{0}$ to $t=v_{k}$


## Proof

## We have

$$
w(p)=\sum_{i=1}^{k-1} w\left(v_{i}, v_{i+1}\right)
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& =h(u)-h(v) \\
& =h(u)
\end{aligned}
$$

## Proof

This is also true in the important case of $p$ being optimal

$$
\begin{equation*}
h(u) \leq \delta(u, T) \tag{4}
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## Dominance

## In Heuristics

Given $h_{1}, h_{2}$ admissible heuristics. If $h_{1}(n) \leq h_{2}(n)$, then $h_{2}$ dominates $h_{1}$.

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Given $h_{1}, h_{2}$ admissible heuristics. If $h_{1}(n) \leq h_{2}(n)$, then $h_{2}$ dominates $h_{1}$.

## Given that we want

$h$ an admissible heuristic such that $h(u) \leq \delta(u, T)$ for all $u \in V$.

## Better Lower Approximation

## Thus

Given the dominance and admissibility:

$$
\begin{equation*}
h_{1}(n) \leq h_{2}(n) \leq \delta(u, T) \tag{5}
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We have a better approximation to the real solution using the heuristic $h_{2}$ than $h_{1}$.

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## Therefore

We have a better approximation to the real solution using the heuristic $h_{2}$ than $h_{1}$.

## Drawback

This has a problem!!! If the problem is NP-Complete!!!

- Thus, the calculation of $h_{2}$ may be more expansive than the calculation of $h_{1}$.


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The most prominent heuristic search algorithm is A*.

This algorithm uses the estimate

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f(u)=g(u)+h(u) \tag{6}
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That requires

- A way to keep a priority!!

The most prominent heuristic search algorithm is $A^{*}$.

This algorithm uses the estimate

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f(u)=g(u)+h(u) \tag{6}
\end{equation*}
$$

## That requires

- A way to keep a priority!!


## Thus

(1) Open a MIN priority queue.
( Closed is a set

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## Pseudo-Code

## Procedure A*

$$
\text { Input: Implicit graph with start node } s \text {, weight function } w \text {, heuristic } h \text {, function Expand and Predicate Goal }
$$ Output: Optimal path from $s$ to $t \in T$, or $\emptyset$.

(1) Closed $=\emptyset$
(2) $\operatorname{Insert}($ Open, $s$ )
(3) $f(s)=h(s)$

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(3) $f(s)=h(s)$
(9) while $($ Open $\neq \emptyset)$
©

$$
\begin{aligned}
& u=\text { remove } \mathbf{M I N}_{f(u)}(\text { Open }) \\
& \text { Closed }=\text { Closed } \cup\{u\}
\end{aligned}
$$

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©
$u=$ remove $\mathbf{M I N}_{f(u)}$ (Open)
Closed $=$ Closed $\cup\{u\}$
if $(\operatorname{Goal}(u))$ return Path ( $u$ )
else $\operatorname{Succ}(u)=\operatorname{Expand}(u)$
for each $v$ in $\operatorname{Succ}(u)$
Improve ( $u, v$ )

## Pseudo-Code

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$u=$ remove $\mathbf{M I N}_{f(u)}$ (Open)
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if (Goal(u)) return Path (u)
else $\operatorname{Succ}(u)=\operatorname{Expand}(u)$
for each $v$ in $\operatorname{Succ}(u)$
Improve ( $u, v$ )
(1) return $\emptyset$

## Procedure Improve

## Procedure Improve

Input: Node $u$ and $v, v$ successor of $u$
Effects: Update parent of $v, f(v)$,
Open and Closed

1. if $v \in$ Open $\Rightarrow$ Node generated but not expanded
2. if $(g(u, v)+w(u, v)<g(v))$
3. 

parent $(v)=u$
4. $\quad f(v)=g(u)+w(u, v)+h(v)$

## Procedure Improve

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Input: Node $u$ and $v, v$ successor of $u$
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1. expanded
2. if $(g(u, v)+w(u, v)<g(v))$
3. 

$$
\operatorname{parent}(v)=u
$$

4. 

$f(v)=g(u)+w(u, v)+h(v)$
5. else if $v \in$ Closed $\Rightarrow$ Node already expanded
6.
if $(g(u, v)+w(u, v)<g(v))$
parent $(v)=u$
8.
$f(v)=g(u)+w(u, v)+h(v)$
9.

Closed $=$ Closed $-\{v\}$
10.

Insert(Open, v)

## Procedure Improve

## Procedure Improve

Input: Node $u$ and $v, v$ successor of $u$
Effects: Update parent of $v, f(v)$, Open and Closed

1. if $v \in$ Open $\Rightarrow$ Node generated but not expanded
2. if $(g(u, v)+w(u, v)<g(v))$
3. parent $(v)=u$
4. $\quad f(v)=g(u)+w(u, v)+h(v)$
5. else if $v \in$ Closed $\Rightarrow$ Node already expanded

$$
\text { 6. } \quad \text { if }(g(u, v)+w(u, v)<g(v))
$$

parent $(v)=u$
8.
$f(v)=g(u)+w(u, v)+h(v)$
9. 10.

Closed $=$ Closed $-\{v\}$
Insert(Open, v)
7.
.
11. else $\Rightarrow$ Node not seen before
12. $\quad$ parent $(v)=u$
13. Initialize $f(v)=g(u)+w(u, v)+h(v)$
14. Insert (Open, $v$ ) with $f(v)$
-

## A* Example

## We can use our previous example



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## Thus!!! We like consistency in $A^{*}$

## Theorem 2.9 (A* for Consistent Heuristics)

- Let $h$ be consistent. If we set $f(s)=h(s)$ for the initial node $s$ and update $f(v)$ with $f(u)+\widehat{w}(u, v)$, where $\widehat{w}(u, v)=h(v)-h(u)+w(u, v)$, instead of $f(u)+w(u, v)$, at each time a node $t \in T$ is selected, we have $f(t)=\delta(s, t)$.


## Proof

## First $h$ is consistent

The, we have that $h(u) \leq h(v)+w(u, v)$

## Proof

## First $h$ is consistent

The, we have that $h(u) \leq h(v)+w(u, v)$
Therefore
We have the difference

$$
\begin{equation*}
\widehat{w}(u, v)=w(u, v)+h(v)-h(u) \geq 0 \tag{7}
\end{equation*}
$$

## Proof

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The, we have that $h(u) \leq h(v)+w(u, v)$

Therefore
We have the difference

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\widehat{w}(u, v)=w(u, v)+h(v)-h(u) \geq 0 \tag{7}
\end{equation*}
$$

Thus, given the
Given the recasting of $A^{*}$ as Disjkstra's Algorithm with weights $\widehat{w}(u, v) \geq 0$.

## Proof

We have that for a shortest path $\left\langle s=p_{0}, p_{1}, \ldots, u=p_{n}\right\rangle$ under $\widehat{w}$ with

$$
\begin{equation*}
f\left(p_{1}\right)=\widehat{w}\left(p_{0}, p_{1}\right)+h(s) \tag{8}
\end{equation*}
$$

## Proof

We have that for a shortest path $\left\langle s=p_{0}, p_{1}, \ldots, u=p_{n}\right\rangle$ under $\widehat{w}$ with

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f\left(p_{1}\right)=\widehat{w}\left(p_{0}, p_{1}\right)+h(s) \tag{8}
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Thus

$$
\begin{equation*}
f\left(p_{n}\right)=\underbrace{\widehat{w}\left(p_{n}, p_{n-1}\right)+\ldots+\widehat{w}\left(p_{2}, p_{1}\right)+\widehat{w}\left(p_{1}, p_{0}\right)}_{\widehat{\delta}(s, u)}+h(s) \tag{9}
\end{equation*}
$$

## Proof

We have that for a shortest path $\left\langle s=p_{0}, p_{1}, \ldots, u=p_{n}\right\rangle$ under $\widehat{w}$ with

$$
\begin{equation*}
f\left(p_{1}\right)=\widehat{w}\left(p_{0}, p_{1}\right)+h(s) \tag{8}
\end{equation*}
$$

## Thus

$$
\begin{equation*}
f\left(p_{n}\right)=\underbrace{\widehat{w}\left(p_{n}, p_{n-1}\right)+\ldots+\widehat{w}\left(p_{2}, p_{1}\right)+\widehat{w}\left(p_{1}, p_{0}\right)}_{\widehat{\delta}(s, u)}+h(s) \tag{9}
\end{equation*}
$$

Given that once the shortest path is achieved, it does not change (Lemma 2.3)

$$
\begin{equation*}
f(u)=\widehat{\delta}(s, u)+h(s) \tag{10}
\end{equation*}
$$

## Proof

Hence, if $t \in T$ is selected from Open and $\left\langle s=p_{0}, p_{1}, \ldots, t=p_{n}\right\rangle$

$$
f(t)=\widehat{\delta}(s, t)+h(s)
$$

## Proof

Hence, if $t \in T$ is selected from Open and $\left\langle s=p_{0}, p_{1}, \ldots, t=p_{n}\right\rangle$

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\begin{aligned}
f(t) & =\widehat{\delta}(s, t)+h(s) \\
& =\sum_{i=1}^{n} \widehat{w}\left(p_{i}, p_{i-1}\right)+h(s)
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& =\sum_{i=1}^{n} w\left(p_{i-1}, p_{n}\right)+h(t)-h(s)+h(s) \text { (Telescopic Sum) }
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& =\sum_{i=1}^{n} w\left(p_{i-1}, p_{n}\right)+h(t)-h(s)+h(s) \quad \text { (Telescopic Sum) } \\
& =\sum_{i=1}^{n} w\left(p_{i-1}, p_{n}\right) \quad(h(t)=0)
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& =\sum_{i=1}^{n} w\left(p_{i-1}, p_{n}\right) \quad(h(t)=0) \\
& =\delta(s, t)
\end{aligned}
$$

## Finally

## Since

- $\widehat{w} \geq 0$, we have $f(v) \geq f(u)$ for all successors $v$ of $u$.


## Finally

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- $\widehat{w} \geq 0$, we have $f(v) \geq f(u)$ for all successors $v$ of $u$.

Given that we take a less restrictive condition for a graph with negative weights

$$
\begin{equation*}
\delta(u, T)=\min \{\delta(u, t) \mid t \in T\} \geq 0 \forall u \tag{11}
\end{equation*}
$$

## Then

- The $f$-values increases monotonically so that at the first extraction of $t \in T$ :

$$
\begin{equation*}
\delta(s, t)=\delta(s, T) \tag{12}
\end{equation*}
$$

## Outline

Informed Optimal Search

- What is an Heuristic?
- Formal Definition of a Heuristic
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- Dominance
(2) A* Algorithm
- The Heuristic A*
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## Remember!!!

## Lemma 2.3

- Let $G$ be a weighted problem graph and $h: V \rightarrow \mathbb{R}$. Define the modified weight $\widehat{w}(u, v)$ as

$$
\begin{equation*}
\widehat{w}(u, v)=w(u, v)-h(u)+h(v) \tag{13}
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Let $\delta(s, t)$ be the length of the shortest path from $s$ to $t$ in the original graph and $\widehat{\delta}(s, t)$ be the corresponding in the reweighed graph.

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(1) For a path $p$, we have $w(p)=\delta(s, t)$ if and only if $\widehat{w}(u, v)=\widehat{\delta}(s, t)$.
(2) In addition, $G$ has no negatively weighted cycles with respect to $w$ if and only if it has none with respect $\widehat{w}$.

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(2) In addition, $G$ has no negatively weighted cycles with respect to $w$ if and only if it has none with respect $\widehat{w}$.

## Proof

It can be found at the Johnson's Algorithm part in "All-Pairs Shortest Path."

## Lemma

## Lemma 2.4 - Toward Admissibility

- Let $G$ be a weighted problem graph, $h$ be a heuristic, and $\widehat{w}(u, v)=h(v)-h(u)+w(u, v)$. If $h$ is admissible, then $\widehat{\delta}(u, T) \geq 0$.


## Lemma

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- Let $G$ be a weighted problem graph, $h$ be a heuristic, and $\widehat{w}(u, v)=h(v)-h(u)+w(u, v)$. If $h$ is admissible, then $\widehat{\delta}(u, T) \geq 0$.


## Proof

- Since $h(t)=0$ and the shortest path costs remains invariant under re-weighting of $G$ by Lemma 2.3, we have...


## Proof

## By the definition of $\widehat{\delta}(u, T)$

$$
\widehat{\delta}(u, T)=\min \{\widehat{\delta}(u, t) \mid t \in T\}
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## Because the telescopic sum

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$$
\widehat{\delta}(u, t)=\sum_{i=1}^{n} \widehat{w}\left(p_{i}, p_{i-1}\right)
$$

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& =\delta(u, t)+h(t)-h(u)
\end{aligned}
$$

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Therefore

$$
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$$
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$$
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& =\min \{\delta(u, t) \mid t \in T\}-h(u) \\
& =\delta(u, T)-h(u) \geq 0 \text { Q.E.D. }
\end{aligned}
$$

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## Now

## Something Notable

- Given a graph with non-negative weights we have that Dijkstra's algorithms is optimal (Theorem 2.1).


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## But we can use a less restrictive condition

(1) To define a new Improve for the new Extended Dijkstra.
(1) Look at page 57 Edelkamp
(2) And a Lemma about the Invariance of the Extended Dijkstra.

## New Improved Algorithm

## Improved Algorithm

Input: Nodes $u$ and $v, v$ successor of $u$
Side effects: Update parent of $v, f(v)$, Open, and Closed.
(1) if $(v \in$ Open)
(2) if $(f(u)+w(u, v)<f(v)) \triangleleft$ Shorter Path
© parent $(v) \leftarrow u$ and $f(v) \leftarrow f(u)+w(u, v)$

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(1) Remove $v$ from Closed and Insert it into Open with $f(v)$

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© Remove $v$ from Closed and Insert it into Open with $f(v)$
(3) else
(9) $\quad \operatorname{parent}(v) \leftarrow u$ and Init $f(v) \leftarrow f(u)+w(u, v)$
(1) Insert $v$ into Open with $f(v)$

## Given

The less restrictive condition

$$
\begin{equation*}
\delta(u, T)=\min \{\delta(u, t) \mid t \in T\} \geq 0 \forall u \tag{14}
\end{equation*}
$$

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Note: (1) That is, the distance from each node to the goal is non-negative.

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Note: (1) That is, the distance from each node to the goal is non-negative.
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Note: (1) That is, the distance from each node to the goal is non-negative.
(2) Figuratively speaking, we can have negative edges when far from the goal, but they get "eaten up" when coming closer.
(3) The condition implies that no negatively weighted cycles exist.

Thus, we get a more general version of the Dijkstra's Algorithm
That contains an invariance that we need to prove...

## Invariance for Extended Dijkstra's Algorithm

## Lemma 2.2

Let $G=(V, E, w)$ be a weighted graph. $p=\left(s=v_{0}, \ldots, v_{n}=t\right)$ be a least cost path from the start node $s$ to a goal node $t \in T$, and $f$ be the approximation in the extended Dijkstra's Algorithm. At each selection of a node $u$ from Open, we have the following invariance:
(I). Unless $v_{n}$ is in Closed with $f\left(v_{n}\right)=\delta\left(s, v_{n}\right)$, there is a node $v_{i} \in$ Open such that $f\left(v_{i}\right)=\delta\left(s, v_{i}\right)$, and no $j>i$ exists such that $v_{j}$ is in Closed with $f\left(v_{j}\right)=\delta\left(s, v_{j}\right)$.

## Proof

## Given that

- Without loss of generality let $i$ be maximal among the nodes satisfying the invariance (I).


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- We have two cases...


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## Case I

- Node $u$ is not on $p$ or $f(u)>\delta(s, u)$


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- Without loss of generality let $i$ be maximal among the nodes satisfying the invariance (I).
- We have two cases...


## Case I

- Node $u$ is not on $p$ or $f(u)>\delta(s, u)$
- Then, $v_{i} \neq u$ remains in Open.


## Proof

## Given that

- Without loss of generality let $i$ be maximal among the nodes satisfying the invariance (I).
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## Case I

- Node $u$ is not on $p$ or $f(u)>\delta(s, u)$
- Then, $v_{i} \neq u$ remains in Open.
- Since no $v$ in Open $\cap p \cap \operatorname{Succ}(u)$ with $f(v)=\delta(s, v) \leq f(u)+w(u, v)$ is changed and no other node is added to Closed


## Proof

## Given that

- Without loss of generality let $i$ be maximal among the nodes satisfying the invariance (I).
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## Case I

- Node $u$ is not on $p$ or $f(u)>\delta(s, u)$
- Then, $v_{i} \neq u$ remains in Open.
- Since no $v$ in Open $\cap p \cap \operatorname{Succ}(u)$ with $f(v)=\delta(s, v) \leq f(u)+w(u, v)$ is changed and no other node is added to Closed
- (I) is preserved


## Proof

## Case II

- Node $u$ is on $p$ and $f(u)=\delta(s, u)$. If $u=v_{n}$, there is nothing to show.


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Now the proof, first assume $u=v_{i}$

- Then, Improve will be called for $v=v_{i+1} \in \operatorname{Succ}(u)$


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## Case II

- Node $u$ is on $p$ and $f(u)=\delta(s, u)$. If $u=v_{n}$, there is nothing to show.

Now the proof, first assume $u=v_{i}$

- Then, Improve will be called for $v=v_{i+1} \in \operatorname{Succ}(u)$


## Then

- For all other nodes in $\operatorname{Succ}(u)-\left\{v_{i+1}\right\}$, the argument of case 1 holds.


## Proof

## According to (I)

- If $v$ is in Closed, then $f(v)>\delta(s, v)$ and it will be reinserted in Open with $f(v)=\delta(s, u)+w(u, v)=\delta(s, v)$.


## Proof

## According to (I)

- If $v$ is in Closed, then $f(v)>\delta(s, v)$ and it will be reinserted in Open with $f(v)=\delta(s, u)+w(u, v)=\delta(s, v)$.


## If $v$ is not in Open nor Closed

- It is inserted into Open with $f(v)=\delta(s, u)+w(u, v)$
- Otherwise the operation will set it to $\delta(s, u)$.


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## According to (I)

- If $v$ is in Closed, then $f(v)>\delta(s, v)$ and it will be reinserted in Open with $f(v)=\delta(s, u)+w(u, v)=\delta(s, v)$.


## If $v$ is not in Open nor Closed

- It is inserted into Open with $f(v)=\delta(s, u)+w(u, v)$
- Otherwise the operation will set it to $\delta(s, u)$.


## It does not matter

- The invariance holds in both cases!!!


## Proof

Now suppose $u \neq v_{i}$

- By the maximality of i , we have that for $k<i u=v_{k}$


## Proof

## Now suppose $u \neq v_{i}$

- By the maximality of i , we have that for $k<i u=v_{k}$

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## Proof

## Now suppose $u \neq v_{i}$

- By the maximality of i , we have that for $k<i u=v_{k}$

If $v=v_{i}$

- Any improve operation will not change the optimal value of $f(v)=\delta(s, u)+w(u, v)=\delta(s, v)$


## In the other case

- $v_{i}$ remains in Open with an unchanged $f$ value and no other node besides $u$ is inserted into Closed, thus $v_{i}$ preserves (I).


## From this Lemma, we get

Theorem 2.3 - Correctness of the Extended Dijkstra

- Let $G=(V, E, w)$ be a weighted graph so that for all $u \in V$ we have $\delta(u, T) \geq 0$. The Extended Dijkstra is optimal; that is, at the first extraction of a node $t \in T$ we have $f(t)=\delta(s, T)$


## From Algorithms

## Lemma 2.4

- Let $G$ be a weighted problem graph, $h$ be a heuristic, and

$$
\widehat{w}(u, v)=w(u, v)-h(u)+h(v)
$$

If $h$ is admissible, then $\widehat{\delta}(u, T) \geq 0$

## Finally, Admissibility in $A^{*}$

Theorem (A* for Admissible Heuristics)

- For weighted graphs $G=(V, E, w)$ and admissible heuristics $h$, algorithm A* is complete and optimal.
- This comes from the previous Lemma and Theorem


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## Expansion of Different Strategies

## The expansion trees



Expansion Criterion: $u \in$ Open with max. $g(u)$
(a)


Expansion Criterion:
$u \in$ Open with min. $g(u)+h(u)$ (c)


Expansion Criterion:
$u \in$ Open with min. $g(u)$
(b)


Expansion Criterion: $u \in$ Open with min. $h(u)$
(d)

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## (2) A* Algorithm

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## Optimality in A* - Once we have dealt with the negative edges

## Theorem 2.11. (Efficiency Lower Bound)

Let $G$ be a problem graph with nonnegative weight function, with initial node $s$ and final node set $T$, and let $f^{*}=\delta(s, T)$ be the optimal solution cost. Any optimal algorithm has to visit all nodes $u \in V$ with $\delta(s, u)<f^{*}$.

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## Explanation

- We can view a search with a consistent heuristic as a search in a re-weighted problem graph with nonnegative costs!!!


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## PROBLEM!!!

We have a BFS style Algorithm
$\mathrm{A}^{*}$ is a BFS style algorithm!!!

## PROBLEM!!!

We have a BFS style Algorithm
A* is a BFS style algorithm!!!
Improvement
We can use the iterative-deepening to improve it!!!

## ITERATIVE-DEEPENING A*

## Procedure IDA*-Driver

Input: Start node $s$, function $w$, heuristics $h$, function Expand and function Goal

Output: Path from $s$ to $t \in T$ or $\emptyset$ if no such path exists
(1) $U^{\prime} \leftarrow h(s)$
(2) bestPath $\leftarrow \emptyset$
(3) while (bestPath $==\emptyset$ and $\left.U^{\prime} \neq \infty\right) \triangleleft$ Goal not found, unexplored nodes left
(ㅇ) $U \leftarrow U^{\prime} \triangleleft$ Reset Global Threshold
(3) $U^{\prime} \leftarrow \infty$
(0) bestPath $\leftarrow I D A *(s, 0, U)$
( return bestPath

## ITERATIVE-DEEPENING A*

## Procedure IDA*

Input: Node $u$, path length $g$, upper bound $U$
Output: Shortest path to a goal node $t \in T$ or $\emptyset$ if no such path exists SideEffects: Update of threshold $U^{\prime}$
(1) if $($ Goal $(u))$ return Path $(u)$
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©

$$
\text { if } \quad \begin{aligned}
& (g+w(u, v)+h(v)>U) \\
& \quad \text { if }\left(g+w(u, v)+h(v)<U^{\prime}\right)
\end{aligned}
$$

©

$$
U^{\prime} \leftarrow g+w(u, v)+h(v)
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(1) else
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& p \leftarrow I D A *(v, g+w(u, v), U) \\
& \text { if }(p \neq \emptyset) \text { return }(u, p)
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(10) return $\emptyset$

## Optimality of ITERATIVE-DEEPENING A*

Theorem 5.4 (Optimality Iterative-Deepening A*)
Algorithm IDA* for graphs with admissible weight function is optimal.

## Proof

## Something Notable

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## Properties

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## Casting A* as a Dijkstra's Algorithm

## Something Notable

We can use the following re-weighting to incorporate the heuristic the weight function and sometimes to avoid negative weights!!!

$$
\widehat{w}(u, v)=w(u, v)-h(u)+h(v)
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Note: as Dijkstra's Algorithm on a re-wighted graph!!!

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Note: as Dijkstra's Algorithm on a re-wighted graph!!!

## Why?

One motivation for this transformation is to inherit correctness proofs!!!

## A*: Re-Weighting Edges

## Lemma 2.3

Let $G$ be a weighted problem graph and $h: V \rightarrow \mathbb{R}$ a consistent heuristic. Define the modified weight $\widehat{w}(u, v)=w(u, v)-h(u)+h(v) \geq 0$. Let $\delta(s, t)$ be the length of the shortest path from $s$ to $t$ in the original graph and $\widehat{\delta}(s, t)$ be the corresponding value in the re-weighted graph.

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(1) For a path p , we have $w(p)=\delta(s, t)$, if and only if $\widehat{w}(p)=\widehat{\delta}(s, t)$.

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(1) For a path p , we have $w(p)=\delta(s, t)$, if and only if $\widehat{w}(p)=\widehat{\delta}(s, t)$.
(2) Moreover, $G$ has no negatively weighted cycles with respect to $w$ if and only if it has none with respect to $\widehat{w}$.

## However

## Given the implicit graphs

We have the following question

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## Given a Incosistent Heuristic Re-Weighting helps at all?

Sometimes it does not work...

## Example of Re-weighting Edges on an Inconsistent

 Heuristic
## Example: A problem graph before (left) and after (right) re-weighting.



Figure: $h *(u)=\delta(u, t)$ and $f$ for the first expansions in the new graph

## Problem!!!

## We have a INCONSISTENT heuristic

$$
h(b) \geq h(a)+w(b, a)!!!
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That creates a negative weight How do we deal with an inconsistent heuristic?

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## Dealing with inconsistent but admissible heuristics

## We use the idea of Pathmax

- Taking the maximum of the accumulated weights on the path to a node to enforce a monotone growth in the cost function.


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## Pathmax

For a node $u$ with child $v$

- $f(v)=\max \{f(v), f(u)\}$ or equivalent $h(v)=\max \{h(v), h(u)-w(u, v)\}$.


## However

## Even with this!!!

In the previous figure:

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- After expanding $s$ and $a$, we have Open $=\{(b, 12),(t, 15)\}$ and Closed $=\{(s, 6),(a, 11)\}$.


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- Then, it is compared to the closed list
- 12 is now the pathmax on path $(s, b, a)$, but we never added to Closed
- Remember the code
- We lose the information for $(a, 12)$


## Therefore

## Even with the Pathmax

- We have a problem!!!


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## Best-First Searches

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For this they use...

- A heuristic evaluation function $f(n)$ for each node.


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## For this they use...

- A heuristic evaluation function $f(n)$ for each node.
- "It may depend on the description of $n$, the description of the goal, the information gathered by the search up to that point, and most important, on any extra knowledge about the problem domain." Judea Pearl


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Evaluate it, add it to Open, and record its parent.
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else change recorded parent if this new path is better than previous one.

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## Greedy Best First Search

## Definition

- Evaluation function $f(n)=h(n)$
- $h(n)=$ estimate of cost from $n$ to goal.
- Greedy best-first search expands the node that appears to be closest to goal


## Example



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## Greedy BFS Vs. A*

Properties of greedy Best-First Search
Complete? No - can get stuck in loops, e.g., lasi -> Neamt -> lasi -> Neamt ->

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## Origin of Heuristics

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- This is captured by the abstraction transformation.
- It is used to automate the generation of heuristics.


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- Greedy Best-First Search Vs. A* Algorithm
(3) Limits in Heuristics
- Where do heuristics come from?
- Abstraction Transformations and Valtortas's Theorem


## Abstraction Transformations

## Definition 4.1

- An abstraction transformation $\phi: S \rightarrow S^{\prime}$ maps states $u$ in the concrete problem space to abstract states $\phi(u)$ and concrete actions a to abstract actions $\phi(a)$.



## Thus

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## Example

- For example, the Manhattan distance for sliding-tile puzzles can be regarded as acting in an abstract problem space that allows multiple tiles to occupy the same square.


## Embedding and Homomorphism

## Definition 4.2

- An Abstraction Transformation (Map) $\phi$ is an embedding transformation if it adds edges to $S$ such that the concrete and abstract state sets are the same; that is, $\phi(u)=u$ for all $u \in S$.
- An Abstract Homomorphism requires that for all edges $(u, v) \in S$, there must also be an edge $(\phi(u), \phi(v)) \in S^{\prime}$.


## Embedding and Homomorphism

Theorem 4.1 (Admissibility and Consistency of Abstraction Heuristics)

- Let $S$ be a state space and $S^{\prime}=\phi(S)$ be any homomorphic abstraction transformation of $S$. Let heuristic function $h_{\phi}(u)$ for state $u$ and goal $t$ be defined as the length of the shortest path from $\phi(u)$ to $\phi(t)$ in $S$.


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- Then $h_{\phi}$ is an admissible, consistent heuristic function.


## VALTORTA'S THEOREM

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- Let $u$ be any state necessarily expanded, when the problem $(s, t)$ is solved in $S$ with Breadth-First Serch. In addition:
- $\phi: S \rightarrow S^{\prime}$ be any abstraction mapping; the heuristic estimate $h(u)$ be computed by blindly searching from $\phi(u)$ to $\phi(t)$.
- If the problem is solved by the $\mathbf{A}^{*}$ algorithm using $h$, then either $u$ itself will be expanded, or $\phi(u)$ will be expanded.


## Consequences of Valtora's Theorem

## Corollary 4.1

For an embedding $\phi, \mathrm{A}^{*}$-using $h$ computed by blind search in the abstract problem space-necessarily expands every state that is expanded by blind search in the original space.

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## Observe!!

- Based on this theorem, we define "Valtorta's Barrier" to be the number of nodes expanded when blindly searching in a space.
- Valtorta's theorem states that this barrier cannot be "broken" using any embedding transformation.


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## HOWEVER!!!

- Contrary to the case of embeddings, this negative result of Valtorta's theorem does not apply in this way to abstractions based on homomorphisms.


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- Based on this theorem, we define "Valtorta's Barrier" to be the number of nodes expanded when blindly searching in a space.
- Valtorta's theorem states that this barrier cannot be "broken" using any embedding transformation.


## HOWEVER!!!

- Contrary to the case of embeddings, this negative result of Valtorta's theorem does not apply in this way to abstractions based on homomorphisms.
- It is more, they can reduce the search effort, since the abstract space is often smaller than the original one.


## Bibliography

(i. S. Edelkamp and S. Schrodl, Heuristic Search - Theory and Applications.
Academic Press, 2012.

